Physics in Collision 2019, Taipei

September 17th, 2019

Mixing and CP Violation in Charm Decays





Jörg Marks, Heidelberg University on behalf of the LHCb collaboration



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Introduction

Photo: Sandbox Studio

- Search for evidence of physics beyond the Standard Model in CP violation and rare decays of charm and beauty hadrons
 - Indirect search, probe large mass scales via the study of virtual quantum loops of new particles
- Charm sector
 - SM predicts small effects in mixing and CP violation due to suppression by GIM mechanism (d,s) and CKM matrix (b)
 → deviations can be attributed to new physics
 - Complement K and B systems, access to new physics coupling to up-type sector
 - Calculations are difficult due to the intermediate mass range
 → need for high precision measurements
- Survey of recent results in D mixing and CP violation



Charm Physics – Contributors

B physics experiments are well suited for charm physics





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B physics experiments are well suited for charm physics



Mixing in Neutral Mesons

Artwork by Sandbox Studio, Chicago with Ana Kova

Neutral mesons (K, D, B, B_s) are created as flavour eigenstates of the strong interaction. They can mix through weak interactions. $\bar{s}, c, \bar{b}, \bar{b}$ $\bar{d}, u, \bar{d}, \bar{s}$ $\bar{d}, u, \bar{d}, \bar{s}$ $\bar{d}, u, \bar{d}, \bar{s}$ $\bar{P}^0 \rangle$ $\bar{P}^0 \rangle$ $\bar{P}^0 \rangle$ $\bar{P}^0 \rangle$ $\bar{P}^0 \rangle$ $\bar{P}^0 \rangle$

The time evolution is obtained by

 $i\frac{\partial}{\partial t} \begin{pmatrix} P^0(t) \\ \bar{P}^0(t) \end{pmatrix} = \begin{bmatrix} \begin{pmatrix} M_{11} & M_{12} \\ M_{12}^* & M_{22} \end{pmatrix} - \frac{i}{2} \begin{pmatrix} \Gamma_{11} & \Gamma_{12} \\ \Gamma_{12}^* & \Gamma_{22} \end{pmatrix} \end{bmatrix} \begin{pmatrix} P^0(t) \\ \bar{P}^0(t) \end{pmatrix}$

> The physical eigenstates are P_L and P_H :

$$|P_{L,H}\rangle = p|P^{0}\rangle \mp q|P^{0}\rangle$$
$$|P_{L,H}(t)\rangle = e^{-i(m_{L,H} - i\Gamma_{L,H}/2)t}|P_{L,H}(t=0)\rangle$$

 \succ Define mass and lifetime differences of P_L and P_H :

$$x = \frac{\Delta m}{\Gamma} = \frac{m_H - m_L}{\Gamma}$$
 $y = \frac{\Delta \Gamma}{2\Gamma} = \frac{\Gamma_H - \Gamma}{2\Gamma}$

 $\Gamma = \frac{\Gamma_L + \Gamma_H}{2}$



Charm Physics – CP Violation

Modified: Photo by Reidar Hahn, Fermilab with Sandbox Studio, Chicago

- The CKM phase is the only source of SM CPV in the quark sector
- CPV is well established in Kaon and B meson systems
- In spring 2019 LHCb discovered direct CPV in charm decays
- CP violation measurements
 - \bullet needs 2 interfering amplitudes with strong δ and weak ϕ phase
 - CP violation in decay (direct CPV) $\Gamma(P \to f) \neq \Gamma(\bar{P} \to \bar{f}) \qquad |\mathcal{A}_f| \neq |\bar{\mathcal{A}}_{\bar{f}}|$
 - CP violation in mixing (indirect CPV) $|q/p| \neq 1$
 - CP violation in interence of mixing and decay (indirect CPV) $arg(\lambda_f) \neq arg(\lambda_{\bar{f}}), \quad \lambda_f \equiv q\bar{\mathcal{A}}_f/p\mathcal{A}_f$
 - CP violating effects are predicted to be small $(\sim 10^{-3} 10^{-4})$



Selected results D⁰ Mixing and indirect CP Violation



Mixing in $D^0 \to K\pi$ Decays

Flavour tagging at production and decay



Time evolution of the WS decay rate determines mixing parameters



Jörg Marks

assume CP conservation and
$$|x| \ll 1$$
; $|y| \ll 1$
 $R_{WS}(t) \propto e^{-\Gamma t} \left(\underbrace{R_D}_{PD} + \underbrace{\sqrt{R_D} y' \Gamma t}_{4} + \underbrace{\frac{x'^2 + y'^2}{4} (\Gamma t)^2}_{4} \right)$
DCS Interference Mixing

up to a strong phase difference between CF and DCS decays

$$\begin{aligned} x' &= x \cos \delta_{K\pi} + y \sin \delta_{K\pi} \\ y' &= -x \sin \delta_{K\pi} + y \cos \delta_{K\pi} \end{aligned} \qquad y'^2 + x'^2 = x^2 + y^2 \end{aligned}$$

Mixing in $D^0 \to K\pi$ Decays

- \blacktriangleright D⁰ mixing was discovered in 2013 by LHCb in $D^0 \rightarrow K^+\pi^-$ decays
 - Established earlier by combining many B factory results



► D⁰ mixing parameters measured in a decay t-dependent fit to $\frac{N_{D^0 \to K^+ \pi^-}}{N_{D^0 \to K^- \pi^+}}$

y' [%]	x ^{·2} [10 ⁻³]	y' [%]	x ^{·2} [10 ⁻³]	
0.53 ± 0.052	$0.039\ {\pm}0.027$ 🌃 PRD 97 (2018) 031101	0.46 ± 0.34	0.09 ± 0.22	PRL 112 (2014) 111801
0.48 ± 0.10	$0.055~{\pm}0.049$ Kill PRL 111 (2013) 251801	$0.97\pm\!0.44\pm0.31$	-0.22 $\pm 0.30 \pm 0.21$	PRL 98 (2007) 111802
043 ± 0.43	0.08 ± 0.18 (III) PRL 111 (2013) 231802	-2.8 < y' < 2.1	< 0.72 (95% C.L.)) 🚰 PRL 96 (2006) 151801
0.72 ± 0.24	-0.09 ± 0.13 (110 (2013) 101802			
0.85 ± 0.76	- 0.12 ± 0.35 0.12 ± 0.35 0.12 ± 0.35			



CP Violation in $D^0 \to K\pi$ Decays

CP violating mixing parameters in $D^0 - \overline{D}^0$ oscillation based on the t-dependent WS to RS ratio $R^{\pm}(t)$ of tagged D^0 and $\overline{D}^0 \to K\pi$ decays



Mixing parameters using CP conservation hypothesis

- $y' = (0.528 \pm 0.052) \cdot 10^{-2}$ $x'^{2} = (0.39 \pm 0.27) \cdot 10^{-4}$ $R_{D} = (3.454 \pm 0.031) \cdot 10^{-3}$
- Superseds previous LHCb measurements
- $x'^2 = 0$ within 1σ





CP Violation in $D^0 \to K\pi$ Decays

CP violating mixing parameters in $D^0 - \overline{D}^0$ oscillation based on the t-dependent WS to RS ratio $R^{\pm}(t)$ of tagged D^0 and $\overline{D}^0 \to K\pi$ decays

$$R^{\pm}(t) = R_D^{\pm} + \sqrt{R_D^{\pm}} y'^{\pm} \Gamma t + \frac{(x'^{\pm})^2 + (y'^{\pm})^2}{4} (\Gamma t)^2$$

- Results
 - CPV in mixing 1.00 < |q/p| < 1.35 @ 68.3 % CL
 - Direct CPV

$$A_D = \frac{R_D^+ - R_D^-}{R_D^+ + R_D^-} = (-0.1 \pm 9.1) \cdot 10^{-3}$$

No indication for direct or indirect CPV

Ongoing analysis with all LHCb data



Physics in Collision: Mixing and CPV in Charm 12



$\delta_{K\pi}$ Measurement in $\psi(3770) \rightarrow D^0 \overline{D}^0$

Using quantum correlated $D^0 \overline{D}^0$ pairs in e^+e^- collisions at $\sqrt{S} = 3.773 \, GeV$ the asymmetry in CP-odd and CP-even $D^0 \to K\pi$ decay rates allows to determine the strong phase difference $\delta_{K\pi}$ between the CF and DCS mode.

• Use various CP tagging decay modes to obtain

$$\mathcal{A}_{K\pi}^{CP} = \frac{\mathcal{B}_{D \to K^{-}\pi^{+}}^{CP-} - \mathcal{B}_{D \to K^{-}\pi^{+}}^{CP+}}{\mathcal{B}_{D \to K^{-}\pi^{+}}^{CP-} + \mathcal{B}_{D \to K^{-}\pi^{+}}^{CP-}} = (12.7 \pm 1.3 \pm 0.7) \cdot 10^{-2}$$

to derive with external measurements from $2 r cos(\delta_{K\pi}) + y = (1 + R_{WS}) \cdot \mathcal{A}_{K\pi}^{CP}$ the most precise $\delta_{K\pi}$ to date ($\mathcal{L}_{int} \approx 2.92 \ fb^{-1}$) $\frac{\langle K^+\pi^- | D^0 \rangle}{\langle K^+\pi^- | \bar{D}^0 \rangle} \qquad \frac{N(D^0 \to K^+\pi^-)}{N(D^0 \to K^-\pi^+)}$

 $cos(\delta_{K\pi}) = 1.02 \pm 0.11 \pm 0.06 \pm 0.01$ **ESII** Phys. Lett. B 734 (2014) 227

• Compare to results by CLEO-c ($\mathcal{L}_{int} \approx 818 \ pb^{-1}$) using external input

 $cos(\delta_{K\pi}) = 1.15^{+0.19+0.00}_{-0.17-0.08}$ CLEO-c Phys. Rev. D 86 (2012) 112001

 \rightarrow Important contribution to the charm mixing and CKM angle γ measurements



BESIII PLB 734 (2014) 227

 $\psi(3770)$ $\bar{D}_{CP+} \longleftarrow D_{CP-}$

LHCb applied a novel, model independent approach of time dependent Dalitz analysis to $D^0 \rightarrow K_s^0 \pi^+ \pi^-$ decays to measure the mass difference between the neutral D^0 meson mass eigenstates and indirect CP violation

HICS PRL 122 (2019) 231802

CLEO pioneered method of t-dependent amplitude analyses PRD 72 (2005) 012001

 \geq Run 1 prompt (π -tagged) and semileptonic (μ -tagged) data



• Partion data in D^0 decay time and Dalitz plot

- regions symmetric to the bisector line
- Measure the ratio of the decay yields R_b in corresponding bins b and in decay time bins
- R_b depends on
 - mixing and CPV parameters

"bin-flip" method PRD 99 (2019) 012007

- known hadronic decay parameters determined in external CLEO measurements PRD 82 (2010) 112006
- Small strong phase variation across each bin
- Perform a fit which compares the decay time evolution of signal yields in the Dalitz plot bins -b and +b

LHCb - Mass Difference Measurement





LHCb PRL 122 (2019) 231802



Difference in prompt and semileptonic data due to efficiency variations across Dalitz plane



LHCD PRL 122 (2019) 231802

t dependence of R_b determines the mixing parameters and the difference of R⁺_b and R⁻_b indirect CP violation

LHCb	95 % C.L.
$x = (0.27^{+0.17}_{-0.15}) \cdot 10^{-2}$	$[-0.05, 0.6] \cdot 10^{-2}$
$y = (0.74 \pm 0.37) \cdot 10^{-2}$	$[0.00, 1.50] \cdot 10^{-2}$

	2	95 % C.L.
q/p	$ = (1.05^{+0.22}_{-0.17})$	$\left[0.55, 2.15\right]$
$\phi =$	$(-0.09\substack{+0.11\\-0.16})$	[-0.73, 0.29]

- Most precise measurement of the mass difference, but x = 0 within 2σ
- No indirect CP violation
- ➤ t-dependent Dalitz Plot analyses of $D^0 \rightarrow K_s^0 \pi^+ \pi^-$ decays using an amplitude model approach
 - CLEO-c PRD 72 (2005) 012001



PRD 89, 091103(R) (2014)



PRL 105, 081803 (2010)

LHCP PRL 122 (2019) 231802

t dependence of R_b determines the mixing parameters and the difference of R⁺_b and R⁻_b indirect CP violation

LHCD 95 % C.L.	<mark>инср</mark> 95 % С.L.
$x = (0.27^{+0.17}_{-0.15}) \cdot 10^{-2} [-0.05, 0.6] \cdot 10^{-2}$	$ q/p = (1.05^{+0.22}_{-0.17}) [0.55, 2.15]$
$y = (0.74 \pm 0.37) \cdot 10^{-2} \ [0.00, 1.50] \cdot 10^{-2}$	$\phi = (-0.09^{+0.11}_{-0.16}) [-0.73, 0.29]$

➤ Updated world averages indicate evidence for x > 0: $x = (0.39^{+0.11}_{-0.12}) \cdot 10^{-2}$





BABAR – Mixing in $D^0 \rightarrow \pi^+ \pi^- \pi^0$

 PRD 93 (2016) 112014

In a t-dependent amplitude analysis of the Dalitz Plot of SCS self-conjugated decays $D^0 \rightarrow \pi^+ \pi^- \pi^0$ mixing parameters were measured for the first time.

BABAR used 468 fb⁻¹ of data to tag D^0 in D^{*+} decays





BABAR – Mixing in $D^0 \rightarrow \pi^+ \pi^- \pi^0$

🙀 PRD 93 (2016) 112014

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BABAR used 468 fb⁻¹ of data to tag D^0 in D^{*+} decays



➢ In a fit to the decay time in the Dalitz Plot x, y, τ_{D^0} and the parameters of the isobar model were determined assuming CP conservation

 $x = (1.5 \pm 1.2 \pm 0.6) \cdot 10^{-2}$ $y = (0.2 \pm 0.9 \pm 0.5) \cdot 10^{-2}$

uncertainties dominated by statistics



LHCb – y_{CP} Measurement

HCP PRL 122 (2019) 011802

Effective decay width between CP+ and CP mixed states gives access to the decay time difference of the D⁰ mass eigenstates

$$y_{CP} = \frac{\Gamma(D^0 \to K^+ K^-, \pi^+ \pi^-)}{\Gamma(D^0 \to K^- \pi^+)} - 1 \approx \frac{1}{2} \left[\left(\frac{q}{p} + \frac{p}{q} \right) y \cos\phi_f - \left(\frac{q}{p} - \frac{p}{q} \right) x \sin\phi_f \right] \quad \begin{array}{l} \text{assuming} \\ \phi_f^{K^+ K^-} \approx \phi_f^{\pi^+ \pi^-} \end{array}$$

 $y_{CP} \neq 0 \Rightarrow \mathsf{D}^0 - \overline{\mathsf{D}}^0 \text{ mixing} \qquad y_{CP} = y \leftarrow \mathsf{CP} \text{ conservation}$

► LHCb determines y_{CP} in a decay time dependent fit to $R = \frac{N_{D^0 \to K^+K^-, \pi^+\pi^-}}{N_{D^0 \to K^-\pi^+}}$ using $\mathcal{L}_{int} \approx 3.0 \ fb^{-1}$ of µ-tagged data

 $y_{CP}(K^+K^-, \pi^-\pi^+) = (0.57 \pm 0.13 \pm 0.09) \cdot 10^{-2}$

- LHCb measurements for $y_{CP}(K^+K^-)$ and $y_{CP}(\pi^+\pi^-)$ are consistent with each other and as precise as the current world average dominated by B factory results
- No deviation from the world averaged y measurements

$$y = (0.62 \pm 0.07) \cdot 10^{-2}$$

 \rightarrow No indirect CPV in D⁰ mixing



CPV in t-dependent $D^0 \rightarrow K^+ K^- / \pi^+ \pi^-$

HCB-CONF-2019-001

 \blacktriangleright Access A_{Γ} through decay time dependent asymmetry measurements

$$A_{CP}(f;t) \equiv \frac{\Gamma(D^0(t) \to f) - \Gamma(\bar{D}^0(t) \to f)}{\Gamma(D^0(t) \to f) + \Gamma(\bar{D}^0(t) \to f)} \approx \underbrace{a_{CP}^{dir}(f)}_{TD^0} - \underbrace{\frac{t}{\tau_{D^0}}A_{\Gamma}}_{TD^0}$$

CP eigenstate

CPV in decay

CPV in mixing + interfer.



- LHCb measurement with run 2 data $\mathcal{L}_{int} \approx 1.9 \ fb^{-1}$: LHCb-CONF-2019-001 $A_{\Gamma}(\pi^{-}\pi^{+}) = (11.3 \pm 6.9 \pm 0.8) \cdot 10^{-4} \qquad A_{\Gamma}(K^{-}K^{+}) = (1.3 \pm 3.5 \pm 0.7) \cdot 10^{-4}$ $A_{\Gamma}(K^{+}K^{-} + \pi^{-}\pi^{+}) = (3.4 \pm 3.1 \pm 0.6) \cdot 10^{-4} \qquad \Delta A_{\Gamma}(KK - \pi\pi) = (-10.1 \pm 7.8 \pm 0.5) \cdot 10^{-4}$
- Combined LHCb measurement for $\mathcal{L}_{int} \approx 4.9 \; fb^{-1}$: LHCb-CONF-2019-001, PRL 118 (2017) 261803 $A_{\Gamma}(K^+K^- + \pi^-\pi^+) = (0.9 \pm 2.1 \pm 0.7) \cdot 10^{-4}$ $\Delta A_{\Gamma} = (-8.6 \pm 5.0 \pm 0.5) \cdot 10^{-4}$

No indirect CPV, level of precision $2 \cdot 10^{-4}$



Experimental Results – y_{CP} and A_{Γ}

Combined y_{CP} and A_Γ as averaged by HFLAV indicate D⁰ mixing but HFLAV https://hflav.web.cern.ch





Direct CP Violation in Two-body D Decays CP Violation in Decay



CPV in t-integrated $D^0 \rightarrow K^+ K^- / \pi^+ \pi^-$

Use single Cabibbo suppressed (SCS) 2-body D^0 decays to measure time integrated CP violating effects

Access CP violation through asymmetry measurements

$$A_{CP}(f) \equiv \frac{N(D^0 \to f) - N(\bar{D}^0 \to f)}{N(D^0 \to f) + N(\bar{D}^0 \to f)} \approx \underbrace{a_{CP}^{dir}(f)}_{\mathsf{CPV} \text{ in decay}} + \underbrace{\frac{\langle t(f) \rangle}{\tau(D^0)} A_{\Gamma}(f)}_{\mathsf{CPV} \text{ in mixing + interfer.}}$$

 \blacktriangleright Measure time integrated A_{CP} difference for $f = K^+K^-$ and $f = \pi^+\pi^-$

$$\begin{split} \Delta A_{CP} &= A_{CP}^{raw}(K^+K^-) - A_{CP}^{raw}(\pi^+\pi^-) & \text{J.Phys.G 39 045005} \\ &\approx [a_{CP}^{dir}(K^+K^-) - a_{CP}^{dir}(\pi^+\pi^-)] - \frac{\langle t \rangle_{K^+K^-} - \langle t \rangle_{\pi^+\pi^-}}{\tau_{D^0}} A_{\Gamma} \end{split}$$

• Measurement of ΔA_{CP} with 2 independent data samples at hadron colliders PRL 109 (2012) 111801 K PLB 723 (2013) 33, JHEP 07 (2014) 041 $B \to D^0 \mu^- \nu_\mu X$

 $D^{*+} \rightarrow D^0 \pi^+$ Http:// PRL 108 (2012) 111602, PRL 116 (2016) 191601

• Measurements at e^+e^- colliders $\frac{4}{3}$ PRL 100 (2008) 061803



CPV in t-integrated $D^0 \rightarrow K^+ K^- / \pi^+ \pi^-$

Use single Cabibbo suppressed (SCS) 2-body D^0 decays to measure time integrated CP violating effects

Access CP violation through asymmetry measurements

$$A_{CP}(f) \equiv \frac{N(D^0 \to f) - N(\bar{D}^0 \to f)}{N(D^0 \to f) + N(\bar{D}^0 \to f)} \approx \underbrace{a_{CP}^{dir}(f)}_{\mathsf{CPV} \text{ in decay}} + \underbrace{\frac{\langle t(f) \rangle}{\tau(D^0)}}_{\mathsf{CPV} \text{ in mixing + interfer.}}$$

► Measure time integrated A_{CP} difference for $f = K^+K^-$ and $f = \pi^+\pi^-$

$$\begin{split} \Delta A_{CP} &= A_{CP}^{raw}(K^+K^-) - A_{CP}^{raw}(\pi^+\pi^-) & \text{J.Phys.G 39 045005} \\ &\approx [a_{CP}^{dir}(K^+K^-) - a_{CP}^{dir}(\pi^+\pi^-)] - \frac{\langle t \rangle_{K^+K^-} - \langle t \rangle_{\pi^+\pi^-}}{\tau_{D^0}} A_{\Gamma} \end{split}$$

• Measurement of ΔA_{CP} with 2 independent data samples at hadron colliders

$$B \to D (\mu^{+}) \mu^{X}$$

$$D^{*+} \to D (\pi^{+})$$
PRL 109 (2012) 111801
PRL 109 (2012) 111801
PRL 109 (2012) 111801
PRL 109 (2012) 111602, PRL 116 (2016) 191601
PRL 108 (2012) 111602, PRL 116 (2016) 191601
PRL 108 (2012) 111602, PRL 116 (2008) 061803
PRL 109 (2008) 190



LHCb – **CPV** in $D^0 \rightarrow h^+ h^-$

HCD PRL 122 (2019) 2113803

Perform new measurement with the Run 2 dataset (5.9 fb⁻¹)

Measured asymmetries contain detection and production asymmetries

$$A_{meas}^{h^+h^-} = A_{CP}^{h^+h^-} + A_D + A_P$$

 $\frac{N(D^{0} \rightarrow h^{+}h^{-}) - N(\bar{D}^{0} \rightarrow h^{+}h^{-})}{N(D^{0} \rightarrow h^{+}h^{-}) + N(\bar{D}^{0} \rightarrow h^{+}h^{-})}$ CP asymmetry of h⁺ h⁻
Detection asymmetry of the positive and negative tagging π / μ

• A_D and A_P are $< O(10^{-2})$ and independent of the final state

- ► Difference $\Delta A_{CP} = A_{meas}^{KK} A_{meas}^{\pi\pi} = A_{CP}^{KK} A_{CP}^{\pi\pi}$ cancels detection and production asymmetries
 - Due to sign flip in CKM structure $(V_{us} \sim -V_{cd})$ $A_{CP}^{KK} = -A_{CP}^{\pi\pi}$
 - ΔA_{CP} is largely insensitive to systematic effects



LHCb – Observation of CPV in Charm

HCD PRL 122 (2019) 2113803



 $> A_{meas}$ is determined from fits to $m(D^0\pi^+)$ and $m(D^0)$

- Good agreement with world averages and previous LHCb results
- Numerous robustness checks \rightarrow no dependency of ΔA_{CP} on run range, magnet polarity, $\eta(\pi^+)$, $\phi(\pi^+)$, $p_T(\pi^+)$, $\chi^2_{IP}(\pi^+)$,



LHCb – Observation of CPV in Charm

HCD PRL 122 (2019) 2113803



$> A_{meas}$ is determined from fits to $m(D^0\pi^+)$ and $m(D^0)$

Combination of LHCb Run 1 with new Run 2 results

 $\Delta A_{CP} = (-15.4 \pm 2.9) \cdot 10^{-4}$

First observation of CPV in charm decays at 5.3 σ



LHCb – Direct CPV in $D^0 \rightarrow h^+ h^-$

Hicp PRL 122 (2019) 2113803

Determine direct CP violation combining with LHCb measurements

$$\begin{split} &\Delta A_{CP} \simeq \Delta a_{CP}^{dir} \left(1 + \frac{\langle \bar{t} \rangle}{\tau_{D^0}} y_{CP} \right) + \frac{\Delta \langle t \rangle}{\tau_{D^0}} \underbrace{a_{CP}^{ind}}_{\simeq} - A_{\Gamma} \\ &\Delta \langle t \rangle / \tau_{D^0} = 0.115 \pm 0.002 \\ &\langle \bar{t} \rangle / \tau_{D^0} = 1.71 \pm 0.10 \end{split} \right\} \text{ using the full dataset} \end{split}$$

with
$$\begin{aligned} \langle \bar{t} \rangle &= \frac{\langle t \rangle_{KK} + \langle t \rangle_{\pi\pi}}{2} \\ \Delta \langle t \rangle &= \langle t \rangle_{KK} - \langle t \rangle_{\pi\pi} \end{aligned}$$

Using LHCb measurements

of $A_{\rm D}$ and $u_{\rm CD}$

 $y_{CP} = (5.7 \pm 1.5) \cdot 10^{-3} \underset{\text{PRL 122}}{\text{JHEP 04}} \underset{(2012)}{\text{129}} \underset{(2019)}{\text{11802}} \underset{\Lambda_{\Gamma}}{\text{PRL 122}} \simeq (-2.8 \pm 2.8) \cdot 10^{-4} \underset{\text{PRL 118}}{\text{JHEP 04}} \underset{(2017)}{\text{261803}} \underset{\text{PRL 118}}{\text{118}} \underset{(2017)}{\text{261803}}$

determines the direct CPV contribution

$$\Delta a_{CP}^{dir} = (-15.7 \pm 2.9) \cdot 10^{-4}$$

 $\rightarrow \Delta A_{CP}$ is mainly sensitive to direct CPV



$\mathsf{HFLAV}-\mathsf{Direct}\ \mathsf{CPV}\ \mathsf{in}\ D^0\to h^+h^-$

Hicp PRL 122 (2019) 2113803

> Determine direct CP violation combining with LHCb measurements of $A_{\rm E}$ and $\mu_{\rm CP}$

$$\Delta A_{CP} \simeq \Delta a_{CP}^{dir} \left(1 + \frac{\langle \bar{t} \rangle}{\tau_{D^0}} y_{CP}\right) + \frac{\Delta \langle t \rangle}{\tau_{D^0}} a_{CP}^{ind} \qquad \text{with} \qquad \langle \bar{t} \rangle = \frac{\langle t \rangle_{KK} + \langle t \rangle_{\pi\pi}}{\Delta \langle t \rangle} \\ \Delta \langle t \rangle = \langle t \rangle_{KK} - \langle t \rangle_{\pi\pi} \\ \Delta \langle t \rangle = \langle t \rangle_{KK} - \langle t \rangle_{\pi\pi} \\ \Delta \langle t \rangle = \langle t \rangle_{KK} - \langle t \rangle_{\pi\pi} \\ \Delta \langle t \rangle = \langle t \rangle_{KK} - \langle t \rangle_{\pi\pi} \\ \Delta \langle t \rangle = \langle t \rangle_{KK} - \langle t \rangle_{\pi\pi} \\ \Delta \langle t \rangle = \langle t \rangle_{KK} - \langle t \rangle_{\pi\pi} \\ \Delta \langle t \rangle = \langle t \rangle_{KK} - \langle t \rangle_{\pi\pi} \\ \Delta \langle t \rangle = \langle t \rangle_{KK} - \langle t \rangle_{\pi\pi} \\ \Delta \langle t \rangle = \langle t \rangle_{KK} - \langle t \rangle_{\pi\pi} \\ \Delta \langle t \rangle = \langle t \rangle_{KK} - \langle t \rangle_{\pi\pi} \\ \Delta \langle t \rangle = \langle t \rangle_{KK} - \langle t \rangle_{\pi\pi} \\ \Delta \langle t \rangle = \langle t \rangle_{KK} - \langle t \rangle_{\pi\pi} \\ \Delta \langle t \rangle = \langle t \rangle_{KK} - \langle t \rangle_{\pi\pi} \\ \Delta \langle t \rangle = \langle t \rangle_{KK} - \langle t \rangle_{\pi\pi} \\ \Delta \langle t \rangle = \langle t \rangle_{KK} - \langle t \rangle_{\pi\pi} \\ \Delta \langle t \rangle = \langle t \rangle_{KK} - \langle t \rangle_{\pi\pi} \\ \Delta \langle t \rangle = \langle t \rangle_{KK} - \langle t \rangle_{\pi\pi} \\ \Delta \langle t \rangle = \langle t \rangle_{KK} - \langle t \rangle_{\pi\pi} \\ \Delta \langle t \rangle = \langle t \rangle_{KK} - \langle t \rangle_{\pi\pi} \\ \Delta \langle t \rangle = \langle t \rangle_{KK} - \langle t \rangle_{\pi\pi} \\ \Delta \langle t \rangle = \langle t \rangle_{KK} - \langle t \rangle_{\pi\pi} \\ \Delta \langle t \rangle = \langle t \rangle_{KK} - \langle t \rangle_{\pi\pi} \\ \Delta \langle t \rangle = \langle t \rangle_{KK} - \langle t \rangle_{\pi\pi} \\ \Delta \langle t \rangle = \langle t \rangle_{KK} - \langle t \rangle_{\pi\pi} \\ \Delta \langle t \rangle = \langle t \rangle_{KK} - \langle t \rangle_{\pi\pi} \\ \Delta \langle t \rangle = \langle t \rangle_{KK} - \langle t \rangle_{\pi\pi} \\ \Delta \langle t \rangle = \langle t \rangle_{KK} - \langle t \rangle_{\pi\pi} \\ \Delta \langle t \rangle = \langle t \rangle_{KK} - \langle t \rangle_{\pi\pi} \\ \Delta \langle t \rangle = \langle t \rangle_{KK} - \langle t \rangle_{\pi\pi} \\ \Delta \langle t \rangle = \langle t \rangle_{KK} - \langle t \rangle_{\pi\pi} \\ \Delta \langle t \rangle = \langle t \rangle_{KK} - \langle t \rangle_{\pi\pi} \\ \Delta \langle t \rangle = \langle t \rangle_{KK} - \langle t \rangle_{\pi\pi} \\ \Delta \langle t \rangle = \langle t \rangle_{KK} - \langle t \rangle_{\pi\pi} \\ \Delta \langle t \rangle = \langle t \rangle_{KK} - \langle t \rangle_{\pi\pi} \\ \Delta \langle t \rangle = \langle t \rangle_{KK} - \langle t \rangle_{\pi\pi} \\ \Delta \langle t \rangle = \langle t \rangle_{KK} - \langle t \rangle_{\pi\pi} \\ \Delta \langle t \rangle = \langle t \rangle_{KK} - \langle t \rangle_{\pi\pi} \\ \Delta \langle t \rangle = \langle t \rangle_{KK} - \langle t \rangle_{\pi\pi} \\ \Delta \langle t \rangle = \langle t \rangle_{KK} - \langle t \rangle_{\pi\pi} \\ \Delta \langle t \rangle = \langle t \rangle_{KK} - \langle t \rangle_{\pi\pi} \\ \Delta \langle t \rangle = \langle t \rangle_{KK} - \langle t \rangle_{\pi\pi} \\ \Delta \langle t \rangle = \langle t \rangle_{KK} - \langle t \rangle_{\pi\pi} \\ \Delta \langle t \rangle = \langle t \rangle_{KK} - \langle t \rangle_{\pi\pi} \\ \Delta \langle t \rangle = \langle t \rangle_{KK} - \langle t \rangle_{\pi\pi} \\ \Delta \langle t \rangle = \langle t \rangle_{KK} - \langle t \rangle_{\pi\pi} \\ \Delta \langle t \rangle = \langle t \rangle_{KK} - \langle t \rangle_{\pi\pi} \\ \Delta \langle t \rangle = \langle t \rangle_{KK} - \langle t \rangle_{\pi\pi} \\ \Delta \langle t \rangle = \langle t \rangle_{KK} - \langle t \rangle_{\pi\pi} \\ \Delta \langle t \rangle = \langle t \rangle_{KK} - \langle t \rangle_{\pi\pi} \\ \Delta \langle t \rangle = \langle t \rangle_{KK} - \langle t \rangle_{\pi\pi} \\ \Delta \langle t \rangle = \langle t \rangle_{KK} - \langle t \rangle_{\pi\pi} \\ \Delta \langle t \rangle = \langle t \rangle_{KK} - \langle t \rangle_{\pi\pi} \\ \Delta \langle t \rangle = \langle t$$



Direct CPV in $D^+_{(s)} \to K^0_s h^+$, $D^+ \to \phi \pi^+$

PRL 122 (2019) 191803

Search for direct CP asymmetry in SCS decays $D^{\pm}_{(s)} \to K^0_{(s)} h^{\pm}_{K}, D^+ \to \phi \pi^+$

$$A_{CP}^{D_{(s)}^{+} \to K_{s}^{0}h^{+}} \equiv \frac{\Gamma(D_{(s)}^{+} \to K_{s}^{0}h^{+}) - \Gamma(D_{(s)}^{-} \to K_{s}^{0}h^{-})}{\Gamma(D_{(s)}^{+} \to K_{s}^{0}h^{+}) + \Gamma(D_{(s)}^{-} \to K_{s}^{0}h^{-})} = \frac{N_{D_{(s)}^{+} \to K_{s}^{0}h^{+}} - N_{D_{(s)}^{-} \to K_{s}^{0}h^{-}}}{N_{D_{(s)}^{+} \to K_{s}^{0}h^{+}} + N_{D_{(s)}^{-} \to K_{s}^{0}h^{-}}}$$

- Measured raw asymmetries contain pollution asymmetries $A_{CP}^{raw} \approx A_{CP} + A_D(h) + A_P(D_{(s)}^+) + A_{K^0}$ mixing / CPV of K^0 and detection asymmetry K^0 : $A_{K^0} = (+0.07 \pm 0.02)\%$ use CF modes $D^+ \rightarrow K_s^0 \pi^+$, $D_s^+ \rightarrow K_s^0 K^+$
- Construct CP asymmetries combining signal and CF modes to cancel detection and production asymmetries

$$\begin{aligned} \mathcal{A}_{CP}(D_s^+ \to K_s^0 \pi^+) &\approx \mathcal{A}_{CP}(D_s^+ \to K_s^0 \pi^+) - \mathcal{A}_{CP}(D_s^+ \to \phi \pi^+) \\ \mathcal{A}_{CP}(D^+ \to K_s^0 K^+) &\approx \mathcal{A}_{CP}(D^+ \to K_s^0 K^+) - \mathcal{A}_{CP}(D^+ \to K_s^0 \pi^+) \\ &- \mathcal{A}_{CP}(D_s^+ \to K_s^0 K^+) + \mathcal{A}_{CP}(D_s^+ \to \phi \pi^+) \\ \mathcal{A}_{CP}(D^+ \to \phi \pi^+) &\approx \mathcal{A}_{CP}(D^+ \to \phi \pi^+) - \mathcal{A}_{CP}(D^+ \to K_s^0 \pi^+) \end{aligned}$$



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• LHCb measurement with run 2 data $\mathcal{L}_{int} = 3.8 f b^{-1}$ most precise to date PRL 122 (2019) 191803

 $\begin{aligned} \mathcal{A}_{CP}(D_s^+ \to K_s^0 \pi^+) &= (1.3 \pm 1.9 \pm 0.5) \cdot 10^{-3} \\ \mathcal{A}_{CP}(D^+ \to K_s^0 K^+) &= (-0.09 \pm 0.65 \pm 0.48) \cdot 10^{-3} \\ \mathcal{A}_{CP}(D^+ \to \phi \pi^+) &= (0.05 \pm 0.42 \pm 0.29) \cdot 10^{-3} \end{aligned}$

effects of K^0 system are subtracted

• Combined LHCb measurement for $\mathcal{L}_{int} = 6.8 f b^{-1}$

 $\mathcal{A}_{CP}(D_s^+ \to K_s^0 \pi^+) = (1.6 \pm 1.7 \pm 0.5) \cdot 10^{-3}$ $\mathcal{A}_{CP}(D^+ \to K_s^0 K^+) = (-0.04 \pm 0.61 \pm 0.45) \cdot 10^{-3}$ $\mathcal{A}_{CP}(D^+ \to \phi \pi^+) = (0.03 \pm 0.40 \pm 0.29) \cdot 10^{-3}$

PRL 122 (2019) 191803, JHEP 06 (2013) 112, JHEP 10 (2014) 025

 \rightarrow No direct CPV



Direct CPV in $D^+_{(s)} \to K^0_s h^+$, $D^+ \to \phi \pi^+$

PRL 122 (2019) 191803

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• Combined LHCb measurement for $\mathcal{L}_{int} = 6.8 f b^{-1}$

$$\mathcal{A}_{CP}(D_s^+ \to K_s^0 \pi^+) = (1.6 \pm 1.7 \pm 0.5) \cdot 10^{-3}$$

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- Comparison to other results shows huge improvement in precision, eg $\mathcal{A}_{CP}(D^+ \to K^0_s K^+)[10^{-3}]$
- t -0.04 $\pm 0.61 \pm 0.45$ 2.5 $\pm 2.8 \pm 1.4$ 4.6 $\pm 3.6 \pm 2.5$ -2.0 $\pm 15 \pm 9$ 71 $\pm 61 \pm 12$ PRL 122 (2019) 191803 PRL 122 (2019) 191803 PRL 122 (2013) 98 PRD 87 (2013) 052012 DRD 81 (2010) 052013 FOCUS PRL 88 (2002) 041602 PRL 88 (2002) 041602



CP Violation in Multi-body D Decays

Photo: Sandbox Studio



CPV with Kinematic Asymmetries

🔁 PRD 99 (2019) 011104

Search for CPV in the SCS decay $D^0 \rightarrow K^+ K^- \pi^+ \pi^-$ with the asymmetry of kinematic variables using the full Belle dataset, $\mathcal{L}_{int} \approx 988 f b^{-1}$

- ► Construct a CP violating asymmetry $a_X^{CP} = \frac{1}{2} (\mathcal{A}_X \eta_X^{CP} \bar{\mathcal{A}}_X)$
 - Amplitudes are derived from the decay width asymmetries \mathcal{A}_X using kinematic variable X

 $\mathcal{A}_X = \frac{\Gamma_D(X>0) - \Gamma_D(X<0)}{\Gamma_D(X>0) + \Gamma_D(X<0)}$

• Set of 5 kinematic variables X $\eta_X^{CP} = +1: \cos \Phi$ $\cos \theta_1 \cdot \cos \theta_2 \cdot \cos \Phi$ $\eta_X^{CP} = -1: \sin \Phi$ ~ triple product $\sin 2\Phi$ ~ LHCb: JHEP 10 (2014) 005 $\cos \theta_1 \cdot \cos \theta_2 \cdot \sin \Phi$

$$D^{*+} \to \pi^+ D^0 : \mathcal{A}_X$$

 $D^{*-} \to \pi^- \bar{D}^0 : \bar{\mathcal{A}}_{\bar{X}}$



• Interfering amplitudes can be sensitive to non-SM CP-violating phases



CPV with Kinematic Asymmetries

PRD 99 (2019) 011104



CP-violating asymmetries - results

$$a_{sin\Phi}^{CP} = (5.2 \pm 3.7 \pm 0.7) \cdot 10^{-3}$$

$$a_{sin2\Phi}^{CP} = (3.9 \pm 3.6 \pm 0.7) \cdot 10^{-3}$$

$$a_{cos_1 cos\theta_2 sin\Phi}^{CP} = (0.2 \pm 3.7 \pm 0.7) \cdot 10^{-3}$$

$$a_{\cos_1\cos\theta_2\cos\Phi}^{CP} = (-0.2 \pm 3.6 \pm 0.7) \cdot 10^{-3}$$

$$a_{\cos\Phi}^{CP} = (3.4 \pm 3.6 \pm 0.6) \cdot 10^{-3}$$

 \rightarrow no evidence for CP violation



CPV with Kinematic Asymmetries

PRD 99 (2019) 011104

Http JHEP 10 (2014) 005



CP-violating asymmetries - results

- measurement within the precision consistent with CP conservation
- $a_{sin\Phi}^{CP}$ is similar to the triple product based T-odd observable a_{CP}^{T-odd} as measured by LHCb with Run 1 data $a_{CP}^{T-odd} = (1.8 \pm 2.9 \pm 0.4) \cdot 10^{-3}$



Summary

Artwork by Sandbox Studio, Chicago with Ana Kova

LHCb observed direct CP violation in charm decays

- An experimental precision at the sub-permille level in the measurement of CP asymmetries was achieved
- Result is compatible with SM expectations

LHCb's new results in charm mixing and CP violation improved the world averages in the past year significantly.

• Evidence for the world average mass difference x larger than zero

Future measurements at LHC and SuperKEKB of mixing and CPV in charm decays along with possible theoretical improvements will clarify if there is new dynamics in the up-quark sector



Backup



Introduction – t Dep. Dalitz Analysis

CF: $D^0 \rightarrow K^{*-}\pi^+$

DCS: $D^0 \rightarrow K^{*+}\pi^-$

CP: $D^0 \rightarrow K^0_s \rho^0$

- ► Dalitz plot of $D^0 \rightarrow K_s^0 \pi^+ \pi^-$ CLEO, PRD 72, 012001 (2005)
 - Different quasi 2 body amplitudes contribute and interfere
 - Dalitz analyses allows to determine amplitude and relative phases of interfering modes $D^0: m_+^2(K_s^0\pi^+)$



Perform unbinned max. likelihood fit in the signal region to (m_+^2, m_-^2, t)

- \Rightarrow extract relative amplitudes and relative phases
- \Rightarrow x, y and au_{D^0}
- \Rightarrow CP violation parameter q/p and arg(q/p)



 m^2

t Dep. Dalitz Analysis in $D^0 \to K_s \pi^+ \pi^-$

🔁 PRD 89 (2014) 091103R

\geq 1.2 · 10⁶ signal candidates with a purity of 96 %.



Fit contributions of the amplitude model:

Resonance	$\operatorname{Amplitude}$	Phase (deg)	Fit fraction
$K^{*}(892)^{-}$	1.590 ± 0.003	131.8 ± 0.2	0.6045
$K_0^*(1430)^-$	2.059 ± 0.010	-194.6 ± 1.7	0.0702
$K_2^*(1430)^-$	1.150 ± 0.009	-41.5 ± 0.4	0.0221
$K^{*}(1410)^{-}$	0.496 ± 0.011	83.4 ± 0.9	0.0026
$K^{*}(1680)^{-}$	1.556 ± 0.097	-83.2 ± 1.2	0.0016
$K^{*}(892)^{+}$	0.139 ± 0.002	-42.1 ± 0.7	0.0046
$K_0^*(1430)^+$	0.176 ± 0.007	-102.3 ± 2.1	0.0005
$K_2^*(1430)^+$	0.077 ± 0.007	-32.2 ± 4.7	0.0001
$K^{*}(1410)^{+}$	0.248 ± 0.010	-145.7 ± 2.9	0.0007
$K^*(1680)^+$	1.407 ± 0.053	86.1 ± 2.7	0.0013
$\rho(770)$	1 (fixed)	0 (fixed)	0.2000
$\omega(782)$	0.0370 ± 0.0004	114.9 ± 0.6	0.0057
$f_2(1270)$	1.300 ± 0.013	-31.6 ± 0.5	0.0141
$\rho(1450)$	0.532 ± 0.027	80.8 ± 2.1	0.0012
$\pi\pi$ S-wave			0.1288

t Dep. Dalitz Analysis in $D^0 \to K_s \pi^+ \pi^-$

🔁 PRD 89 (2014) 091103R

\geq 1.2 · 10⁶ signal candidates with a purity of 96 %.



Fit contributions of the amplitude model:





CPV with T-odd Correlations

Search for CPV using T-odd correlations assuming CPT invariance

A. Datta and D. London Triple Products in $D \rightarrow VV$ are odd under T reversal Int.J.Mod.Phys. A19 2505 (2004)

- $A_T = \frac{\Gamma_D(\vec{v}_1 \cdot (\vec{v}_2 \times \vec{v}_3 > 0) \Gamma_D(\vec{v}_1 \cdot (\vec{v}_2 \times \vec{v}_3 < 0))}{\Gamma_D(\vec{v}_1 \cdot (\vec{v}_2 \times \vec{v}_3 > 0) + \Gamma_D(\vec{v}_1 \cdot (\vec{v}_2 \times \vec{v}_3 < 0))}$ $\vec{v}_i = \{\vec{s}, \vec{p}\}$
- But FSI can produce $A_T \neq 0$
- BABAR: PRD-RC 81 111103 (2010) \blacktriangleright Measure CPV observable $a_{CP}^{T-odd} = 1/2(A_T - \bar{A}_T)$ using triple products of final state particle momenta in $D^0 \to K^+ K^- \pi^+ \pi^-$ decays in $D^0 c.m.s.$ $A_T \equiv \frac{\Gamma_{D^0}(C_T > 0) - \Gamma_{D^0}(C_T < 0)}{\Gamma_{D^0}(C_T > 0) + \Gamma_{D^0}(C_T < 0)}$ $D^0: C_T \equiv \vec{p}_{K^+} \cdot (\vec{p}_{\pi^+} \times \vec{p}_{\pi^-})$ $\bar{D}^0: \ \bar{C}_T \equiv \vec{p}_{K^-} \cdot (\vec{p}_{\pi^-} \times \vec{p}_{\pi^+}) \qquad \bar{A}_T \equiv \frac{\Gamma_{\bar{D}^0}(-\bar{C}_T > 0) - \Gamma_{\bar{D}^0}(-C_T < 0)}{\Gamma_{\bar{D}^0}(-\bar{C}_T > 0) + \Gamma_{\bar{D}^0}(-\bar{C}_T < 0)}$
 - Effective CPV differs depending on strong phase difference of the two interfering amplitudes $(\delta_1 - \delta_2)$ weak phases strong phases $\mathcal{A}_{CP} \propto sin(\phi_1 - \phi_2) \cdot \frac{sin(\delta_1 - \delta_2)}{sin(\delta_1 - \delta_2)}$ $a_{CP}^{T-odd} \propto sin(\phi_1 - \phi_2) \cdot \frac{cos(\delta_1 - \delta_2)}{cos(\delta_1 - \delta_2)}$ a_{CP}^{T-odd} maximal for small $(\delta_1 - \delta_2)$



LHCb searches for CPV in 171 k secondary $D^0 \rightarrow K^+ K^- \pi^+ \pi^-$ decays using T-odd correlations with $3fb^{-1}$ of data arXiv:1408.1299

Measure phase space integrated T-odd observables and CP asymmetry

$$D^0$$
 : $A_T = (-7.18 \pm 0.41 \pm 0.13)\%$
 \bar{D}^0 \bar{A} (7.55 ± 0.41 ± 0.13)\%

$$D^{\circ}$$
 : $A_T = (-7.55 \pm 0.41 \pm 0.12)\%$



accepted by JHEP

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accepted by JHEP

- Measure phase space integrated T-odd observables and CP asymmetry
 - D^0 : $A_T = (-7.18 \pm 0.41 \pm 0.13)\%$
 - \bar{D}^0 : $\bar{A}_T = (-7.55 \pm 0.41 \pm 0.12)\%$

 $a_{CP}^{T-odd} = (0.18 \pm 0.29 \pm 0.04)\%$



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 \succ Variations of T-odd variable with 5D phase space cancelling in a_{CP}^{T-odd}



LHCb searches for CPV in 171 k secondary $D^0 \rightarrow K^+ K^- \pi^+ \pi^-$ decays using T-odd correlations with $3fb^{-1}$ of data arXiv:1408.1299

- ➢ Measure phase space integrated T-odd observables and CP asymmetry D^0 : $A_T = (-7.18 \pm 0.41 \pm 0.13)\%$ \bar{D}^0 : $\bar{A}_T = (-7.55 \pm 0.41 \pm 0.12)\%$ $a_{CP}^{T-odd} = (0.18 \pm 0.29 \pm 0.04)\%$
- > Variations of T-odd variable with 5D phase space cancelling in a_{CP}^{T-odd}
- > No variations with τ_{D^0} which excludes effects of indirect CPV
- Precision on a_{CP}^{T-odd} significantly improved

No evidence of CP asymmetry



accepted by JHEP



Charm Production at Hadron Colliders

The large cross section for charm production at hadron colliders leads to $10^{12}c\bar{c}/fb^{-1}$ events within LHCb acceptance \rightarrow world's largest c sample



LHCb uses both charm samples

Asymmetry Measurements

Measure CP asymmetries at $10^{-3} \rightarrow$ control systematic uncertainties at a similar level

- ➢ Determine raw asymmetries A_{raw} by comparing partial decay rates of a process and its CP conjugate $A_{raw} = \frac{N(x) N(\bar{x})}{N(x) + N(\bar{x})}$
 - Systematic uncertainties cancel, 2 contributions in case of LHCb
 - production rate asymmetries, A_P (measurements for $B^0, B^0_s, B^+, \Lambda^0_b$) Phys. Lett. B774 (2017) 139
 - asymmetries due to particle / anti-particle detection, A_D
 - For LHCb A_P and A_D are determined by
 - use of control samples
 - regularly reverse magnet polarity
 - remove fiducial volumes contributing to asymmetries

CP asymmetry: $A_{CP} = A_{raw} - A_P - A_D$

